

Participation in industry associations



This document outlines the principles that underpin Rio Tinto's participation in industry associations and our engagement with these organisations.

The value of industry association membership

Rio Tinto is a member of many trade and industry associations. Rio Tinto joins industry associations where membership provides value to our business, investors and other stakeholders.

The value of membership and the scope of services provided to members of industry associations varies for each and may include:

- opportunities to understand a range of views on issues affecting the industry;
- opportunities to share best practice, technical insights and research on safety, health and the environment;
- opportunities to contribute to the development of industry standards;
- cost-sharing among members for the development of technical expertise;
- opportunities for Rio Tinto to contribute our perspectives and experiences in support of efficient and effective policy;
- education and professional development opportunities;
- opportunities to open new markets, improve the operating environment for our assets or create new opportunities for our products that add value for shareholders; and
- engagement with employees or customers across the industry.

Principles for participation in industry associations

Rio Tinto believes that it is important to engage with industry associations, even where there is a wide range of views within the membership of the association with which we do not always agree, in order to support rich and full debate, reach compromises where appropriate, and make progress on solutions to complex issues.

Rio Tinto seeks to encourage industry associations to engage broadly with other stakeholders (such as investors and non-government organisations) including by leveraging our stakeholder networks and partnerships where appropriate.

Rio Tinto does not favour any political party, group or individual. As a company, we do not involve ourselves in party political matters nor do we make any type of payments to political parties or political candidates.



Memberships of industry associations are an important part of stakeholder engagement.

Simon Thompson
Chairman

Monitoring of industry association memberships

Rio Tinto continually reviews the value of its membership in individual industry associations prior to joining and when membership is due for renewal. This assessment may include:

- the purpose of the association and the value that the membership may provide to Rio Tinto and its investors;
- appropriate governance structures within the industry association;
- activities and positions of advocacy of the industry association;
- an understanding of Rio Tinto's engagement approach with the association; and
- compliance with applicable competition law/antitrust rules regarding competitor contacts.

- work as part of that industry association to understand alternative points of view and to seek common ground that enables progress to be made;
- seek a leadership position in the governance body of that industry association to further influence the policies and perspectives of that association; and/or
- ultimately, if differences in policy positions appear to be incapable of being resolved, consider reviewing its ongoing membership of that industry association.

Disclosure and reporting

This statement of principles is approved by the Board of Rio Tinto. Responsibility for the application of these principles to individual association membership is delegated to management on a 'comply or explain' basis.

Where significant differences in policy positions arise, Rio Tinto may:

- provide greater clarity on our own policy positions, such as company submissions on policy issues and/or direct engagement with policy makers;



Rio Tinto Kennecott, USA